# This Page Is Inserted by IFW Operations and is not a part of the Official Record

# BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.





Publication number:

0 532 156 A1

# (12)

# **EUROPEAN PATENT APPLICATION**

(1) Application number: 92305326.8

(5) Int. Cl.5: C07C 59/46, A61K 31/19

② Date of filing: 10.06.92

39 Priority: 29.08.91 JP 219021/91

② Date of publication of application: 17.03.93 Bulletin 93/11

Designated Contracting States:
 CH DE ES FR GB IT LI NL

Applicant: Matsuno, Tetsuya 2-19-24 Naka Kunitachi-shi, Tokyo(JP) Applicant: MPI LIMITED 5-28-3 Ojima, Koto-ku Tokyo(JP) Inventor: Yoshida, Mayumi

Isobe 9-18

Sagamihara-shi, Kanagawaken(JP)

Inventor: Saito, Yutaka 3-9-13 Nakamachi Machida-shi, Tokyo(JP) Inventor: Matsuno, Tetsuya 2-19-24 Naka Kunitachi-shi, Tokyo(JP)

Representative: Barker, Rosemary Anne et al c/o Mewburn Ellis, 2 Cursitor Street London EC4A 1BQ (GB)

- 54) Physiologically active diterpenoid.
- (5) A novel physiologically active substance is represented by the formula.

The substance is preferably derived from propolis, has excellent antitumor activity and is useful as an antitumor drug.

#### Field of the Invention

10

15

20

25

35

40

45

50

The present invention relat s to a novel physiologically active substance. A novel physiologically active substance is preferably derived from propolis, has excellent antitumor activity and is useful as an antitumor drug.

Propolis is a kind of glue in which resins collected by honeybees are mixed with saliva secretion, beewax, pollen, etc., and known as a folk medicine having a variety of pharmacological activities including anti-microbial activity and anti-inflammtory activity.

It is known that an ethanol extract of propolis has antitumor activity [Z. Naturforsch, C 44. 1063, (1989)]. Further, it is known that phenetyl cafeate, one component of propolis, represented by the formula (II).

has antitumor activity [Experientia 44, 230, (1988)].

The process for preparing a propolis extract having antitumor activity has been disclosed by the present inventors (JP,A Hei 3-162596).

Further, as a compound having a skeleton similar to that of the compound of the present invention, compounds represented by the following formulae are known.

Bolivianol represented by the formula (III) [Bol. Soc. Chil, Quim., 35, 257, (1990) (Chemical Abstract 114, 39206u)].

A compound represented by the formula (IV),

55

(wherein each of X and Y represents CH<sub>2</sub>OH or each of X and Y, independently of other, represents CH<sub>2</sub>OH or H) [Phytochemistry 28, 531. 3415 (1989)].

A compound represented by the formula (V),

[Phytochemistry 28, 3415, 2507 (1989)].

A compound represented by the formula (VI),

[Phytochemistry 20, 1657 (1981)].

However, there is no report on the antitumor activity of these compounds.

It is an object of the present invention to provide a compound having xcellent antitumor activity.

The present inventors have found that a substance having antitumor activity is present in propolis. This substance has been isolated and purified, and its physical and chemical properties have been studied. As a result, it has been found to be a novel substance, and named a novel physiologically active substance.

According to the present invention, there is provided a novel physiologically active substance represented by the formula (I).

25

30

35

40

45

50

10

15

20

The present invention will be detailed hereinafter.

The physical and chemical properties of the novel physiologically active substance are as follows.

- (i) Molecular weight: 320
- (ii) Molecular formula: C20 H32 O3
- (iii) Mass spectrometry: SIMS method (using glycerol as a matrix): m/z 321 (M+H)\* High-resolution SIMS spectrum: 321.2403 Calculated as C<sub>20</sub>H<sub>33</sub>O<sub>3</sub>: 321.2430
- (iv) Specific rotatory power:  $[\alpha]D^{25} = -83^{\circ}$  (c = 0.44, methanol)
- (v) Ultraviolet absorption spectrum: (in methanol)  $\lambda$ max: 212 nm ( $\epsilon$  = 4,600, 288 nm ( $\epsilon$  = 2,600)
- (vi) Infrared absorption spectrum: (KBr tablet method)  $\nu$ (cm<sup>-1</sup>); 3,600-2,400, 3.450, 2,941, 1,695, 1,641, 1,439, 1,385, 1,259
- (vii)  $^1$ H-NMR: (500 MHz, CD<sub>3</sub>OD) internal standard TMS,  $\delta$ (ppm): 5.63 (1H, br, s), 5.18 (1H, m), 3.84 (1H, dd, J = 10.6, 3.1 Hz), 3.21 (1H, br, t), 1.89 (3H, d, J = 1.3 Hz), 1.58 (3H, d, J = 1.3 Hz), 1.03 (3H, s), 0.75 (3H, s)
- (viii)  $^{13}$ C-NMR: (125 MHz, CD<sub>3</sub>OD) internal standard TMS,  $\delta$  (ppm): 170.4, 161.7, 145.1, 121.8, 117.7, 64.4, 47.9, 45.5, 39.4, 39.2, 37.7, 37.6, 28.4, 27.7, 25.4, 23.2, 20.3, 19.5, 18.8, 18.2
- (ix) Solubility: Soluble in methanol, ethyl acetate, chloroform and DMSO. Sparingly soluble in acidic water.
- (x) Color reaction: Positive to an iodine reagent, a BCG reagent and a cerium sulfate reagent.
  - (xi) Appearance: Colorless solid
  - (xii) High performance liquid chromatography: Reversed phase silica gel filler column: YMC Co. AM-312 (ODS, spherical, 5 µm, pore diameter 60 angstrom. 6.0 mmø x 150 mm); eluent: 0.02 M acetic acidammonium acetate (pH 5.0)-methanol [25:75 (v/v)]; flow rate 1 ml/min; detection: detected by means of 240 nm ultraviolet absorption: retention time: 16.7 minutes

The biological activity of the novel physiologically active substance will be explained hereinafter.

## Growth inhibition to HeLa S3 cells

0.1 Milliliter of a test compound properly diluted with an MEM culture containing 10 % fetal bovine serum and 2 mM of glutamine was placed in each of 96 wells of a microtiter plate. Then, trypsinized HeLa S3 cells (ATCC HTB22) were adjusted with the above culture to form a solution containing 3 x 10<sup>4</sup> cells/ml, and 0.05 ml of the solution was added to each well.

The above plate was cultured in a carbonic acid gas incubator at 37°C for 72 hours, and then culture supernatants were removed. 0.1 Milliliter of a culture medium containing 0.02 % Neutral Red was added to each well, and the plate was cultured in the carbonic acid gas incubator at 37°C for 1 hour to stain the cells. After culture supernatants were removed, the remainders were once washed with a physiological saline solution. Then, the dyestuff was extracted with 0.001N hydrochloric acid/30 % ethanol, and the absorbance at 550 nm was measured with a microplate reader. In comparison between the absorbance of untreated cells and the absorbance of cells treated with the known concentration of the test compound, the growth inhibition ratio of the cells was calculated on the basis of the following equation.

10

15

Growth inhibition ratio (%) = 
$$\frac{A - B}{C - B} \times 100$$

- A: Absorbance of cells treated with the compound
  - B: Absorbance of a well containing no cells
  - C: Absorbance of untreated cells

On the basis of the above-obtained growth inhibition ratio, the test compound concentration at which the growth of cells was 50 % inhibited (IC<sub>50</sub>) was calculated. Table 1 shows the result.

20

25

45

50

Table 1

Test compound	IC <sub>50</sub> (μg/ml)
Novel physiologically active substance derived from propolis	87.0

The cell cycle was analyzed with a flow cytometer to show that the test compound terminated the growing cells at S phase and destroyed them.

As described above, the novel physiologically active substance exhibits antitumor activity against cultured tumor cells and is useful as an antitumor drug.

When the novel physiologically active substance is used as an antitumor drug, it is administered in a dose of 20 to 500 mg/kg-body/day, preferably 60 to 100 mg/kg-body/day. The novel physiologically active substance is dissolved in a pharmaceutically acceptable solvent such as alcohols (e.g., ethanol) and DMSO (dimethylsulfoxide), and the resultant solution is administered intravenously or orally.

The process for the preparation of the novel physiologically active substance will be described hereinafter.

One embodiment of the process for the preparation of an extract containing the compound of the present invention will be described in Referential Example. The novel physiologically active substance is isolated from the extract and purified according to a generally used method, e.g., a high performance liquid chromatography. The characteristic properties of the novel physiologically active substance during the purification step can be traced by ultraviolet absorption.

Examples of the present invention will be described hereinafter. In addition, the physicochemical data of the novel physiologically active substance was measured by the following apparatus.

Mass spectrum:

M-80B mass spectrometer supplied by Hitachi Ltd. SX-102 mass

spectrometer supplied by JEOL LTD.

Optical rotation:

DIP-370 spectrometer supplied by Nippon Bunko K.K.

Ultraviolet absorption spectrum:

UV-2200 spectrophotometer supplied by Shimadzu Corp.

Infrared absorption spectrum:

JIR-RFX3001 infrared spectrophotometer supplied by JEOL LTD.

NMR spectrum:

AM500 nuclear magnetic resonance apparatus supplied by Bulker

Co., Ltd.

## Example 1

2 Milligrams of the fraction obtained by the use of acidic acetonitrile in Referential Example was dissolved in methanol, and purified with an ODS column [YMC SH345-5, supplied by YMC Co.] for high performance liquid chromatography [Elution conditions: 75 % (V/V) methyl alcohol - 20 mM ammonium acetate, pH 5.0, flow rate 10 ml/minute]. In a retention time of 49 to 51 minutes, a fraction absorbing ultraviolet light (wavelength 240 nm) was obtained. The above procedures were repeated, and the methyl

alcohol was removed from the resultant fraction under reduced pressure. 1N hydrochloric acid in an amount of 1/10 by volume was added to the aqueous solution, and the solution was subjected to extraction with ethyl acetate. An organic layer was wash d with saturated sodium chloride aqu ous solution according to a conventional method, and dried over anhydrous sodium sultate. The organic solvent was distilled off under reduced pressure to give 4.4 mg of a novel physiologically active substance as a colorless solid.

The physical and chemical properties and primary structure of the novel physiologically active substance were as described previously.

#### Example 2

10

The fraction obtained by the use of ethyl alcohol in Referential Example was treated in the same manner as in Example 1 to give a novel physiologically active substance of the present invention.

#### Referential Example

15

100 Grams of propolis of Brazilian origin was mixed with 10-fold (V/V) 99.5 % (W/W) ethyl alcohol, and the mixture was stirred at room temperature. The resultant suspension was filtered through a filter paper sheet under reduced pressure to give an ethyl alcohol extract solution of propolis. Then, the solvent in the so-obtained ethyl alcohol extract solution was distilled off under reduced pressure, and 30 g of the resultant residue was dissolved in a water/ethyl acetate mixed solution having a mixing ratio of 1:1 to obtain an ethyl acetate extract solution of propolis as an organic layer. The solvent of the so-obtained extract was distilled off under reduced pressure, and 20 g of the resultant residue was dissolved in 99.5 % (W/W) methyl alcohol, and insolubles were centrifugally removed to give a methyl alcohol extract solution. The soobtained methyl alcohol extract solution was purified with an ODS type column (TOSOH ODS 80TM, supplied by TOSOH CORP.) for high performance liquid chromatography brought into an equilibrium with 70 % (V/V) acidic methyl alcohol (adjusted to pH 3.5 with acetic acid) [Elution conditions: acetonitrile 70 to 100 % (V/V), pH 3.5]. Thereafter, fractions having an acidic methyl alcohol concentration of 82 to 88 % (V/V) were collected. The so-obtained fractions were put together, and the solvent was distilled off under reduced pressure to give 200 mg of a residue. The so-obtained residue was dissolved in 70 % (V/V) acidic acetonitrile (adjusted to pH 3.5 with acetic acid), and the resultant solution was purified with an ODS type column (TOSOH ODS 80TM, supplied by TOSOH CORP.) for high performance liquid chromatography brought into an equilibrium with 70 % (V/V) acidic acetonitrile (adjusted to pH 3.5 with acetic acid) [Elution conditions: acetonitrile 70 to 100 % (V/V), pH 3.5]. Thereafter, fractions having an acetonitrile concentration of 73 to 76 % were collected. The so-obtained fractions were put together, and the solvent was distilled off under reduced pressure to give 50 mg of a residue. Then, the so-obtained residue was dissolved in 70 % (V/V) acetonitrile, and the resultant solution was purified with an ODS type column (TOSOH ODS 80TM, supplied by TOSOH CORP.) for high performance liquid chromatography brought into an equilibrium with 75 % (V/V) ethyl alcohol [Elution conditions: ethyl alcohol 75 to 90 % (V/V)]. Then, fractions having an ethyl alcohol concentration of 80 to 85 % were collected. A propolis extract was obtained by collecting posterior fractions from main fractions absorbing ultraviolet light (wavelength 254 nm).

According to the present invention, there is provided a novel physiologically active substance which has antitumor activity.

## Claims

45

1. A novel physiologically active substance represented by the formula (I),

50

55

- 2. An antitumor drug comprising, as an active component, a novel physiologically active substance represented by the formula (I) as defined in Claim 1.
- 3. A method for inhibiting the growth of tumor cells, which comprises applying thereto a novel physiologically active substance represented by the formula (I) as defined in Claim 1.



# PARTIAL EUROPEAN SEARCH REPORT

Application Number

which under Rule 45 of the European Patent Convention shall be considered, for the purposes of subsequent proceedings, as the European search report

P 92 30 5326

	DOCUMENTS CONSIDE	RED TO BE RELEVAN	Γ	
ategory	Citation of document with indica	tion, where appropriate,	Relevant to cinim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 5)
),A	EXPERIENTIA vol. 44, no. 3, 15 Mar pages 230 - 232 D GRUNBERGER ET AL * summary *		1-2	C07C59/46 A61K31/19
), A	PHYTOCHEMISTRY vol. 28, no. 9, 1989, pages 2507 - 2509 M TOYOTA ET AL * abstract *	OXFORD GB	1-2	
	_			
				TECHNICAL FIELDS
				SEARCHED (Int. Cl. 5)
INCOMPLETE SEARCH  The Search Division considers that the present European patent application does not comply with the provisions of the European Patent Convention to such an entent that it is not possible to carry out a meaningful search into the state of the art on the basis of some of the claims Claims searched completely: Claims searched incompletely: Claims not searched: Reason for the limitation of the search:				
X:P	Place of search THE HAGUE	Date of completies of the search 09 DECEMBER 1992		HEYWOOD C.J.
A:	CATEGORY OF CITED DOCUMEN' sarticularly relevant if taken alone sarticularly relevant if combined with another incument of the same category technological background mon-written disclosure ntermediate document	after the fillin  D: document cits L: document dis	unusnes on, or	



Remark: Although claim 3 is directed to a method of treatment of (diagnostic method practised on) the human/animal body (Article 52(4) EPC) the search has been carried out and based on the alleged effects of the compound/composition.